**Single Match Funding Policy for EMPS**

2021-22

# **What are we wanting to achieve in a revised match funding policy for EMPS?**

1. To strategically apply match funding in order to strengthen research applications to funders whose panels take notice of the Institution’s investment in the PI and their research proposal
2. A simplified policy that is easy to understand and accessible
3. Clarity on the various funding sources available across the UoE and when they should be accessed
4. Optimisation of College research cost recovery
5. To complement our ongoing focus on the development of high-quality research applications

# **Match funding policy**

1. The College policy is subject to change in order to align with any Institution-wide match funding policy (please read the UoE Match Funding Policy)
2. Any PI with an application whose contribution to Full Economic Costs (cFEC) is above 35%[[1]](#footnote-1) can access college match funding
	1. Fellowship applications where a 35% cFEC won’t be possible due to the T&C should be discussed with the BP as soon as possible
3. The cost of College match funding investment must be fully covered by the cFEC remaining once the college contribution has been accounted for. Any exceptions must be approved by ADR and PVC
4. Any proposal with a Cost Recovery of less than 70% must require ADR and PVC approval
5. The type of match funding is decided by the PI in discussion with Research Services / IIB support
	1. Match funding must be proportionate
	2. Discussions must happen as soon as possible in the development process to allow for College approval. Delaying discussions could result in match funding not being approved/submission delayed or refused
	3. Match funding type and value (£) must be fully justifiable to the ADR, be a requirement of the funder, be shown to add direct value to the application or be of significant strategic value for influence or further cFEC
	4. College approval must be given by the ADR (PVC (or designate)). Please allow up to 2 weeks for approval to be given
	5. Approval from DVC will also be required where matched funding is over £50k or where the match funding is over 10% of the total award value (income) of the grant (please allow at least 3 weeks for approval to be given)
	6. All match funding discussions with the ADR are via RS/IIB/DC BP
6. The PI can ‘boost’ the amount of College match funding available through the addition of external funds
7. Match funding may be required for equipment that cannot be costed into the grant, the 20%FEC for very expensive equipment, changes to existing labs (to enable new equipment use). New labs etc have to be funded through the UoE Capital Equipment Fund (CEF). The completion of the CEF is managed by Research Services but PI input is essential.
	1. Any potential equipment or lab changes (including new space requirements) must be part of initial discussions with Research Services so that expertise (e.g. Estate Services/Technical Services) can be involved as soon as possible
	2. To access the CEF, EMPS Match Funding must be approved at time of CEF application submission
	3. Any complex requests will need to be discussed with the ADR prior to the development of the CEF. Such a discussion may also require input from other colleges
	4. All CEF applications must be signed off by the ADR and HOF prior to central submission
	5. Central submissions will be scrutinised by the Director of Research Services and the Business Manager to the DVC. This may result in further changes and amendments to the application before it is submitted to the DVC for approval
	6. The DVC must see the application at least 3 weeks before the funder deadline
	7. Applications (including Outline) will not be supported by the Institution unless equipment/space match funding has been formally approved prior to submission.
8. The ADR can make exceptions on a case-by-case basis
	1. A ‘strategic case’ made to the ADR must clearly state why this is an exception and why match funding is necessary
	2. This must be approved by CEG or CEG Chairs approved action
9. Academic time is not eligible for match funding unless a direct requirement by the funder. Any exceptions will require HoD (in discussion with PVC as required) approval
10. Any match funding commitment of academic posts including proleptic appointments must be approved by the PVC
	1. Before seeking PVC approval for a Proleptic appointment the HoD must confirm by email that they are supportive of the request
11. No application will be approved that has negative cFEC (except Leverhulme Early Career Fellowships with specific ADR agreement)
12. Eligible costs must be claimed from the funder. The ADR can agree exceptions on a case-by-case basis with clear justification
	1. Such exceptions could be training courses, conferences or impact workshops for fellowship applications

# **Worked Examples (where the cFEC threshold is 35%)**

(% cFEC as part of PRG planning is 100 x cfEC/Sponsor Contribution)

**EPSRC Standard Grant**:

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| --- | --- |
| fEC | £926,537 |
| Sponsor Contribution (SC)  | £741,229 |
| cFEC | £373,648 |
| % cfEC (100 x cfEC /SC) | 50% |
| % Cost recovery (100 x SC / fEC) | 80% |
| If maximum available cfEC were to be 35% for match (as an example) | 15% |
| Maximum available cash amount for justified match | £56,047 |

**Standard EPSRC Grant (with equipment)**

 A contribution to the equipment costs would need to come from the Capital Equipment Fund (CEF).

|  |  |
| --- | --- |
| fEC | £997,260 |
| Sponsor Contribution **and CEF** | £810,672 |
| cFEC | £328,149 |
| % cfEC (100 x cfEC /SC) | 40% |
| % Cost recovery (100 x SC / total fEC) | 81% |
| If maximum available cfEC were to be 35% for match (as an example) | 5% |
| Maximum available cash amount for justified match | £16,407 |

**New Investigator Award (1)**

|  |  |
| --- | --- |
| fEC | £154,259 |
| Sponsor Contribution | £123,407 |
| cFEC | £112,766 |
| % cFEC (100 x cFEC /SC) | 73% |
| % Cost recovery (100 x SC / total fEC) | 80% |
| If maximum available cFEC were to be 35% for match (as an example) | 38% |
| Maximum available cash amount for justified match | £42,851 |

**New Investigator Award (2)**

|  |  |
| --- | --- |
| fEC | £651,579 |
| Sponsor Contribution | £521,263 |
| cFEC | £167,874 |
| % cFEC (100 x cFEC /SC) | 32% |
| % Cost recovery (100 x SC / total fEC) | 80% |
| If maximum available cFEC were to be 35% for match (as an example) | 0% |
| Maximum available cash amount for justified match | £0 |

**EPSRC Established Career Fellowship**

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| --- | --- |
| fEC | £1,944,144 |
| Sponsor Contribution | £1,526,815 |
| cFEC | £293,531 |
| % cFEC (100 x cFEC /SC) | 19% |
| % Cost recovery (100 x SC / total fEC) | 78% |
| If maximum available cFEC were to be 35% for match (as an example) | 0% |
| Maximum available cash amount for justified match | £0 |

On these fellowships the fellow is costed as DI to allow for a teaching replacement.

If the fellow was costed as DA we get the following figures:

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| --- | --- |
|  fEC | £1,944,144 |
| Sponsor Contribution | £1,526,815 |
| cFEC | £848,540 |
| % cFEC (100 x cFEC /SC) | 56% |
| % Cost recovery (100 x SC / total fEC) | 78% |
| If maximum available cFEC were to be 35% for match (as an example) | 21% |
| Maximum available cash amount for justified match | £178,193 |

**RAEng Fellowship**

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| --- | --- |
| fEC | £627,379 |
| Sponsor Contribution | £501,903 |
| cFEC | £224,979 |
| % cFEC (100 x cFEC /SC) | 45% |
| % Cost recovery (100 x SC / total fEC) | 80% |
| If maximum available cFEC were to be 35% for match (as an example)  | 10% |
| Maximum available cash amount for justified match | £22,498 |

This is a fellowship scheme capped at £500k sponsor contribution. The applicant’s actually salary could be more than the funding available so a discussion with ADR may be required to agree additional contribution above the cFEC available.

**Leverhulme Fellowship**

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| --- | --- |
| fEC | £332,684 |
| Sponsor Contribution | £87,339 |
| cFEC | -£76,166 |
| % cFEC (100 x cFEC /SC) | 87% |
| % Cost recovery (100 x SC / total fEC) | 26% |
| If maximum available cFEC were to be 35% for match (as an example) | 0% |
| Available cash amount for match | £0 |

Although Leverhulme doesn’t pay Estates and Indirects and only pays ½ of the fellow’s salary, these also earn QF funding that is not accounted for in the above calculation.

# **PhD Studentships**

1. A full match funded studentship is possible as long as the maximum available match (as per the Single Match funding Policy) will cover the full cost
	1. ADR and DVC approval required
2. A partial matched studentship is possible as long as the external match is provided by Industry and is greater than 50% of the true costs of the studentship
	1. A PVC awarded start-up student can be used as match
	2. Each studentship must be fully costed to confirm the match requirement
3. Advertising of the studentship can only take place with ADR/PVC agreement
1. Whatever CEG agree that the % is at that present time [↑](#footnote-ref-1)